



MSRB RULE G-10 – INVESTOR AND MUNICIPAL ADVISORY CLIENT
EDUCATION AND PROTECTION ANNUAL DISCLOSURE

Dear Municipal Advisor Client:

Samuel A. Ramirez & Co., Inc. (“Ramirez”) is hereby providing you with our annual investor and municipal advisory client education notice (“G-10 Notice”).

The information contained herein may be helpful to you with respect to your municipal securities transactions with Ramirez’.

Ramirez’ is a registered broker–dealer with the Securities and Exchange Commission (“SEC”) and the Municipal Securities Rulemaking Board (“MSRB”). As such Ramirez’ is subject to various securities law(s) (“Laws”) and self–regulatory rule(s) (“SRR”).

For more information can be found here: <https://www.sec.gov/> & <http://www.msrb.org/>.

Additionally, the MSRB has provided the following brochure, which further describes the protections that may be afforded to you by the MSRB and the process by which to file a complaint against Ramirez’ and or any covered person. The brochure be found here; <http://www.msrb.org/Rules-and-Interpretations/MSRB-Rules/General/~media/BC4612A03E6C4899B809503FE34E3A81.ashx?>

We appreciate your understanding and supporting Ramirez’ in our fulfilling our regulatory obligations.

Ramirez & Co.